



PTO/SB/21 (02-04)

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/651,829	
	Filing Date	August 28, 2003	
	First Named Inventor	PETERSEN	
	Art Unit	1635	
	Examiner Name	GAMETT, DANIEL C.	
Total Number of Pages in This Submission	36	Attorney Docket Number	5853-265

ENCLOSURES (Check all that apply)		
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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Nicholas A. Zachariades, Registration No. 56,712 Akerman Senterfitt
Signature	<i>N Zachariades</i>
Date	May 31, 2005

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: PETERSEN et al.

Application No.: 10/651,829

Examiner:

Date Filed: August 28, 2003

Group: 1635

For: NEUROGENESIS FROM HEPATIC STEM CELLS

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Nicholas A. Zachariades, Registration No. 56,712

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the Duty to Disclose under 37 C.F.R. §1.56, the references cited on the accompanying form PTO/SB/08B are hereby brought to the attention of the Examiner for independent evaluation.

Applicants certify that each item contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Copies of the references are enclosed.

Submission of the listed documents is not intended as an admission that any such documents constitute prior art against the claims of the present application. Applicants do not waive any right

to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner and made of record in the present application and that an initialed copy of form PTO/SB/08B be returned in accordance with MPEP §609.

In view of the above certification, it is believed that no fee is required; however, should a fee be deemed to be due by the Commissioner, such fee is authorized to be charged to Deposit Account No. 50-0951.

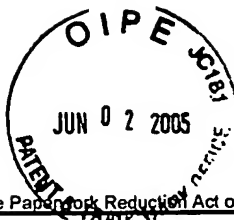
Respectfully submitted,



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Docket No. 5853-265



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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete if Known

Application Number 10/651,829

Filing Date August 28, 2003

First Named Inventor PETERSEN et al.

Art Unit 1635

Examiner Name

Sheet

1

of

1

Attorney Docket Number 5853-265

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		DENG, J. et al., "Neural trans-differentiation potential of hepatic oval cells in the neonatal mouse brain," Exp. Neurol., Aug 2003, 182(2), pp. 373-382	
		DENG, J. et al., "In vitro differentiation of human marrow stromal cells into early progenitors of neural cells by conditions that increase intracellular cyclic AMP," Biochem. Biophys. Res. Commun., March 23, 2001, 282(1), pp. 148-152	
		PETERSEN et al., "Bone marrow as a potential source of hepatic oval cells," Science, May 14, 1999, 284(5417), pp. 1168-1170	
		SANCHEZ-RAMOS Jr., "Neural cells derived from adult bone marrow and umbilical cord blood," J. Neurosci. Res., Sept. 15, 2002, 69(6), pp. 880-893	

Examiner  
Signature

Date

Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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